



## PECB Certified ISO 37001 Lead Auditor

**Master the Audit of Anti-bribery Management Systems (ABMS) based on ISO 37001**

### **Why should you attend?**

ISO 37001 Lead Auditor training enables you to develop the necessary expertise to perform an Anti-bribery Management System (ABMS) audit by applying widely recognized audit principles, procedures and techniques. During this training course, you will acquire the knowledge and skills to plan and carry out internal and external audits in compliance with ISO 19011 and ISO/IEC 17021-1 certification process.

Based on practical exercises, you will be able to master audit techniques and become competent to manage an audit program, audit team, communication with customers, and conflict resolution.

After acquiring the necessary expertise to perform this audit, you can sit for the exam and apply for a "PECB Certified ISO 37001 Lead Auditor" credential. By holding a PECB Lead Auditor Certificate, you will demonstrate that you have the capabilities and competencies to audit organizations based on best practices.



## Who should attend?

- Auditors seeking to perform and lead Anti-bribery Management System (ABMS) certification audits
- Managers or consultants seeking to master an Anti-bribery Management System audit process
- Individuals responsible for maintaining conformance with ABMS requirements
- Technical experts seeking to prepare for an Anti-bribery Management System audit
- Expert advisors in Anti-bribery Management

## Course agenda

Duration: 5 days

### Day 1 | Introduction to Anti-bribery Management Systems (ABMS) and ISO 37001

- Course objectives and structure
- Standard and regulatory framework
- Certification process
- Fundamental concepts and principles of anti-bribery management
- Anti-bribery Management System (ABMS)

### Day 2 | Audit principles, preparation and launching of an audit

- Fundamental audit concepts and principles
- Audit approach based on evidence and risk
- Initiating the audit
- Stage 1 audit
- Preparing the stage 2 audit (on-site audit)
- Stage 2 audit (Part 1)

### Day 3 | On-site audit activities

- Stage 2 audit (Part 2)
- Communication during the audit
- Audit procedures
- Creating audit test plans
- Drafting audit findings and non-conformity reports

### Day 4 | Closing the audit

- Documentation of the audit and review
- Closing the audit
- Evaluating action plans by the auditor
- Beyond the initial audit
- Managing an internal audit programme
- Competence and evaluation of auditors
- Closing the training

### Day 5 | Certification Exam



## Learning objectives

- Understand the operations of an Anti-bribery Management System (ABMS) based on ISO 37001
- Acknowledge the correlation between ISO 37001 and other standards and regulatory frameworks
- Understand an auditor's role to: plan, lead and follow-up on a management system audit in accordance with ISO 19011
- Learn how to lead an audit and audit team
- Learn how to interpret the requirements of ISO 37001 in the context of an ABMS audit
- Acquire the competencies of an auditor to: plan an audit, lead an audit, draft reports, and follow-up on an audit in compliance with ISO 19011

## Examination

Duration: 3 hours

The "PECB Certified ISO 37001 Lead Auditor" exam fully meets the requirements of the PECB Examination and Certification Programme (ECP). The exam covers the following competency domains:

- Domain 1** | Fundamental principles and concepts of an Anti-bribery Management System (ABMS)
- Domain 2** | Anti-bribery Management System (ABMS)
- Domain 3** | Fundamental audit concepts and principles
- Domain 4** | Preparation of an ISO 37001 audit
- Domain 5** | Conducting an ISO 37001 audit
- Domain 6** | Closing an ISO 37001 audit
- Domain 7** | Managing an ISO 37001 audit program

For more information about exam details, please visit [Examination Rules and Policies](#).



## Certification

After successfully completing the exam, you can apply for the credentials shown on the table below. You will receive a certificate once you comply with all the requirements related to the selected credential.

For more information about ISO 37001 certifications and the PECB certification process, please refer to the Certification Rules and Policies.

| Credential  | Exam  | Professional experience   | ABMS audit experience  | Other requirements              |
|---|---|---|--|---------------------------------|
| <b>PECB Certified ISO 37001 Provisional Auditor</b> | PECB Certified ISO 37001 Lead Auditor Exam or equivalent                            | None  | None   | Signing the PECB Code of Ethics |
| <b>PECB Certified ISO 37001 Auditor</b>             | PECB Certified ISO 37001 Lead Auditor Exam or equivalent                            | <b>Two years:</b> One year of work experience in Anti-bribery Management      | Audit activities: a total of 200 hours                       | Signing the PECB Code of Ethics |
| <b>PECB Certified ISO 37001 Lead Auditor</b>        | PECB Certified ISO 37001 Lead Auditor Exam or equivalent                            | <b>Five years:</b> Two years of work experience in Anti-bribery Management    | Audit activities: a total of 300 hours                       | Signing the PECB Code of Ethics |
| <b>PECB Certified ISO 37001 Senior Lead Auditor</b> | PECB Certified ISO 37001 Lead Auditor Exam or equivalent                            | <b>Ten years:</b> Seven years of work experience in Anti-bribery Management   | Audit activities: a total of 1,000 hours                     | Signing the PECB Code of Ethics |
| <b>PECB Certified ISO 37001 Master</b>              | ISO 37001 Lead Implementer + ISO 37001 Lead Auditor (4 additional foundation exams) | <b>Fifteen years:</b> Ten years of work experience in Anti-bribery Management | Audit activities: 700 hours<br>Project activities: 700 hours | Signing the PECB Code of Ethics |

**Note:** PECB Certified Individuals who do possess the Lead Implementer and Lead Auditor Credentials are qualified for the respective **PECB Master Credential**, given they have taken 4 additional Foundation Exams which are related to this scheme. For more detailed information about the Foundation Exams and the overall Master Requirements, please go to the following link: <https://pecb.com/en/master-credentials>.

## General information

- Certification fees are included on the exam price
- Training material containing over 450 pages of information and practical examples will be distributed
- A participation certificate of 31 CPD (Continuing Professional Development) credits will be issued
- In case of exam failure, you can retake the exam within 12 months for free